



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete If Known

Application Number	10/021,571
Filing Date	December 19, 2001
First Named Inventor	Moses CHAO et al.
Group Art Unit	1647
Examiner Name	NICHOLS
Attorney Docket Number	CHAO=11A

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Number		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
CSN	AA	KONG et al., "Identification of a novel, neuron-specific, neurotrophin-responsive transmembrane protein", Abstract presented at Society of Neurosciences Meeting in October 1999	

Examiner
SignatureDate
Considered

11/4/2003

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



PTO/SB/08A (10-96)

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Examiner Name	C.F. Nichols
Attorney Docket Number	CHAO=11A

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		Office ⁴	Number				
CSO	AB	PCT,	WO 02/20786 A2	SCHIAVO et al.	03-14-2002		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ²
CSO	AG	EMBL Database accession no. AL123620 (Blum et al.)	
CSO	AD	LEE et al., The uniqueness of being a neurotrophin receptor, <u>Current Opinion in Neurobiology</u> , 11(3)281-286 (2001)	
	AE	KONG et al., An evolutionarily conserved transmembrane protein that is a novel downstream target of neurotrophin and ephrin receptors, <u>The Journal of Neuroscience: The Official Journal of the Society for Neuroscience</u> , 21(1)176-185 (2001)	
	AF	IGLESIAS et al., Identification and cloning of kidins220, a novel neuronal substrate of protein kinase D, <u>The Journal of Biological Chemistry</u> , 275(51)40048-40056 (2000)	
CSO	AG	BIBEL et al., Biochemical and functional interactions between the neurotrophin receptors trk and p75NTR, <u>The Embo Journal</u> , 18(3)616-622 (1999)	

CANDIDATED; DO NOT PRINT.

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8/21/03

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